

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**D/A2120**

In Re Application Of: **Paul R. Austin**

Serial No.	Filing Date	Examiner	Group Art Unit
<b>Not Yet Assigned</b>	<b>Not Yet Assigned</b>	<b>Not Yet Assigned</b>	<b>Not Yet Assigned</b>

**Title: LIGHT-BASED DATA COMMUNICATION IN A DATA-PROCESSING SYSTEM**

Address to:  
**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

Docket Number (Optional)

**D/A2120**

Application Number

**Not Yet Assigned**

Applicant(s)

**Paul R. Austin**

Filing Date

**Not Yet Assigned**

Group Art Unit

**Not Yet Assigned****U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 6,552,328 B1	04/22/2003	Berlin et al.	250	221	02/22/2000
		US 6,515,308 B1	02/04/2003	Kneissl et al.	257	86	12/21/2001
		US 6,430,632 B1	08/06/2002	Ain et al.	710	61	05/28/1999
		US 6,304,588 B1	10/16/2001	Chua et al.	372	46	09/02/1999
		6,078,789	06/20/2000	Bodenmann et al.	455	66	03/08/1999
		5,920,709	07/06/1999	Hartung et al.	395	309	11/18/1996
		5,835,862	11/10/1998	Nykanen et al.	455	558	03/06/1996
		5,784,396	07/21/1998	Guerin	372	29	09/17/1996
		5,745,795	04/28/1998	Pecone et al.	395	882	11/04/1996
		5,628,637	05/13/1997	Pecone et al.	439	74	12/08/1994
		5,557,634	09/17/1996	Balasubramanian et al.	375	222	10/14/1994

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.